Green OFDM Transmission: An Optimal Signal Design Technique

Dr. Homayoun Nikookar

Netherlands Defence Academy (NLDA), Faculty of Military Sciences, Den Helder, The Netherlands

- 10% of the world's energy consumption is due to the Information and Communications Technology (ICT) industry
- Energy-efficiency has become one of the key performance indicators (KPI) in the design and implementation of radio systems
- Green radio Communications is to design energy-efficient wireless communication techniques and protocols which optimally utilize available resources and minimize power consumption.

June 23, 2022

Various Strategies for Designing Energy-efficient Wireless Systems

- Energy Efficient New Radios
- Minimization of Interference
- Optimal resource Allocation

The method developed in this work falls in the category of Efficient New Radios.

June 23, 2022

Approach: An optimization problem

We would like to design an OFDM signal

with least transmit power and the best performance

in the **BPSK data detection**

June 23, 2022

OFDM Transmission



The OFDM transmitted signal is written as

$$s(t) = \frac{1}{\sqrt{M}} \sum_{i=-\infty}^{\infty} \sum_{m=0}^{M-1} b_m(i) e^{j2\pi f_m t} g(t-iT)$$

 $b_m(i)$ = Symbol of the *m*th subchannel at time interval *iT*, and for the BPSK modulation is ±1

g(t) is the shape of the transmitter filter that is non-zero in [0,T), which we will try to optimize its shape

The factor $1/\sqrt{M}$ is for keeping the power of the OFDM signal constant irrespective the number of subcarriers.

For the interval [0,T) the OFDM signal can be expressed as:

$$s(t) = \frac{1}{\sqrt{M}} \sum_{m=0}^{M-1} b_m(0) e^{j2\pi f_m t} g(t), \qquad 0 \le t < T$$

June 23, 2022

12th CONASENSE Symposium, 27-28 June 2022, IBM Watson Research Center, Munich, Germany.

Since OFDM transmission is a very wideband transmission technique the transmit antenna will influence this signal.

The impact of the antenna in the transmission band is modeled as a *differentiator*.

Accordingly, the transmitted signal is written as:

$$\begin{aligned} x(t) &= \frac{d}{dt} s(t) \\ &= \frac{1}{\sqrt{M}} \sum_{m=0}^{M-1} b_m(0) [j 2\pi f_m e^{j 2\pi f_m t} g(t) + e^{j 2\pi f_1} \\ &\quad 0 \le t < T \end{aligned}$$

7

$$\dot{g}(t) = \frac{d}{dt}g(t)$$

June 23, 2022

Data detection Procedure in the OFDM Receiver

Ignoring noise at the receiver for the detection of the *k*th bit the following operation is done:

$$z_{k} = \int_{0}^{T} x(t)e^{-j2\pi f_{k}t}g(t)dt$$

=
$$\int_{0}^{T} \frac{1}{\sqrt{M}} \sum_{m=0}^{M-1} b_{m}(0)e^{j2\pi f_{m}t}[j2\pi f_{m}g(t) + \dot{g}(t)]e^{-j2\pi f_{k}t}g(t)dt$$

The decision variable z_k can be written as:



June 23, 2022

12th CONASENSE Symposium, 27-28 June 2022, IBM Watson Research Center, Munich, Germany.

For BPSK Modulation and ignoring ICI term the decision variable becomes:

$$z_k = \frac{1}{\sqrt{M}} \int_0^T b_k(0) [\dot{g}(t)] g(t) dt$$

So, for the best detection performance we would like to have

$$\int_0^T g(t)\dot{g}(t)dt = 1$$

This is a normalized constraint that will be used later in the optimization problem.

June 23, 2022

OFDM Signal Power

$$\begin{aligned} x(t) &= \frac{1}{\sqrt{M}} \sum_{m=0}^{M-1} b_m(0) e^{j 2\pi f_m t} [j 2\pi f_m g(t) + \dot{g}(t)], \\ 0 &\leq t < T \end{aligned}$$

Power =
$$|x(t)|^2$$

= $\frac{1}{\sqrt{M}} \sum_{m=0}^{M-1} \sum_{n=0}^{M-1} b_m(0) b_n^*(0) e^{j2\pi (f_m - f_n)t} [j2\pi f_m g(t) + \dot{g}(t)] [-j2\pi f_n g(t) + \dot{g}(t)]$

the average power is obtained as:

$$\overline{|x(t)|^2} = \frac{1}{\sqrt{M}} \sum_{m=0}^{M-1} \sum_{n=0}^{M-1} \overline{b_m(0) b_n^*(0)} e^{j2\pi (f_m - f_n)t} [j2\pi f_m g(t) + \dot{g}(t)] [-j2\pi f_n g(t) + \dot{g}(t)]$$

June 23, 2022

12th CONASENSE Symposium, 27-28 June 2022, IBM Watson Research Center, Munich, Germany.

Assuming independent $b_m(0)$ and $b_n^*(0)$

$$\overline{|x(t)|^2} = \frac{1}{M} \sum_{m=0}^{M-1} \overline{b_m^2(0)} [4\pi^2 f_m^2 g^2(t) + \dot{g}^2(t)]$$

$$\overline{|x(t)|^2} = A^2 g^2(t) + \dot{g}^2(t)$$

$$A^2 = \frac{2M(2M-1)\pi^2}{3T^2}$$

June 23, 2022

Optimization Problem

$$J_{min} = \int_0^T \overline{|x(t)|^2} dt = \int_0^T [A^2 g^2(t) + \dot{g}^2(t)] dt,$$

Subject to:

$$\int_0^T g(t)\dot{g}(t)dt = 1$$

Boundary conditions:

$$g(0)=0$$
, and $g(T)=1$

For finding optimum waveform we use *Calculus of Variations* which leads to a set of differential equations (known as *Euler equations*) with a *constraint* and *boundary conditions*.

June 23, 2022

Optimum waveform $g_*(t)$ for minimal energy becomes:

$$g_*(t) = \frac{\sinh At}{\sinh AT}$$

$$A = \sqrt{\frac{2M(2M-1)\pi^2}{3T^2}}$$

June 23, 2022



For comparison the normalized transmitted energy for the rectangular waveform $g_1(t)$:

$$g_{1}(t) = \begin{cases} 1 & 0 < \frac{t}{T} < 1 \\ 0 & otherwise \end{cases} \qquad \begin{array}{c} 1 \\ 1 \\ 1 \\ 1 \\ 1 \end{array}$$

and the ramp waveform $g_2(t)$:

$$g_{2}(t) = \begin{cases} t_{/T} \ 0 < \frac{t}{T} < 1 \\ 0 \ otherwise \end{cases} \qquad \boxed{\begin{array}{c}1 \\ 1 \\ 1 \end{array}}$$

are compared with the optimal waveform $g_*(t)$

June 23, 2022

Table1: Normalized transmitted energy for two typical and the optimum waveform

	M=2	M=4	M=8	M=16
rectangular g_1 (t)	1	1	1	1
ramp g_2(t)	0.525	0.505	0.501	0.503
optimal g_* (t)	0.158	0.073	0.035	0.017

June 23, 2022

Conclusion

- We designed an *optimal signal shape* for energy **efficient OFDM transmission**.
- We started with the formulating of the **average power** of the **BPSK** modulated OFDM transmitted signal taking into account the behavior of the transmit antenna in the broad bandwidth of the OFDM signal.
- For the **least transmit power** and the **best performance** in the **BPSK data detection** and using the **calculus of variations** with the boundary conditions the optimal waveform was designed. Results show that the **sinus hyperbolic** (*sinh*)**shaped** OFDM signal is the **optimal waveform**.
- The **design framework** presented in this work can directly be **applied** to other **modulation schemes** as well as **design criteria** (such as security, spectral efficiency, performance, etc.) of OFDM wireless communication networks by merely **changing the objective function**.
- Results show the **potential** of the technique to be further applied to smart **context-aware green OFDM wireless communications**.

June 23, 2022